

NHPUC 250CT'17PM2:38

October 24, 2017

Ms. Debra A. Howland Executive Director and Secretary New Hampshire Public Utilities Commission 21 S. Fruit Street, Suite 10 Concord, N.H. 03301

Subject: Application for Renewal/Initial Registration as Electric Aggregator

Dear Ms. Howland:

Enclosed you will find our application for registration for intent to provide electric aggregation services in the State of New Hampshire. An electronic version (PDF format) has been submitted to executive.director@puc.nh.gov via email. I should also note we had an previous license in New Hampshire.

Please do not hesitate to contact us if you have questions.

Sincerely

Lynn Gallagher

Controller

#### Puc 2006.02 Form for Initial and Renewal Registration of Aggregators

- (a) The registration application required by Puc 2003.04(a) and Puc 2003.05(b) shall include the following:
- (1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state;

Competitive Energy Services, LLC

(2) The applicant's business address, telephone number, e-mail address and website address, as applicable;

Business Address: 148 Middle Street, Suite 500, Portland, Maine 04101

Business Telephone: 207-772-6190

Contact email address: LGallagher@competitive-energy.com

Business website: www.competitive-energy.com

(3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual;

### **Principal Officers:**

Richard Silkman, CEO/Member Andrew Price, COO/Member

148 Middle Street, Suite 500 148 Middle Street, Suite 500

Portland, Maine 04101 Portland, Maine 04101

207-772-6190 ext. 226 207-772-6190 ext. 235

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available:

Phone number: 1-866-408-4591

Competitive Energy Services, LLC Registration of Aggregators

(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;

Please see attached exhibits (2).

(6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above;

Competitive Energy Services, LLC intends to make its services available in ALL geographic areas in New Hampshire.

(7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent;

Competitive Energy Services, LLC conducts a competitive bid process among suppliers. Where Competitive Energy Services, LLC's compensation is included in the price quoted by the suppliers, this rate is always fully disclosed to the customer and is the same across all suppliers. Competitive Energy Services, LLC operates as a customer representative and not as a representative of any competitive electricity provider or Load Serving Entity.

(8) Except as provided in 2003.04(e), payment of the required filing fee;

Please see attached check.

(9) The signature of the applicant or its representative. Source. #9774-B, eff 8-26-10

Lynn Gallagher, Controller

Date: October 24, 2017

Richard Silkman, CEO/Member

Date: October 24, 2017

# State of New Hampshire Department of State

### **CERTIFICATE**

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that COMPETITIVE ENERGY SERVICES, LLC is a Maine Limited Liability Company registered to transact business in New Hampshire on December 24, 2001. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 388645



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 23rd day of October A.D. 2017.

William M. Gardner

Secretary of State



## State of New Hampshire

## Bepartment of State

Corporation Division
Concord Tel. 603-271-3244



Location: State House Annex - 3rd floor

Mailing address:

State House, Room 204 107 North Main Street

Concord, N.H. 03301-4989

HELP LINE TDD ACCESS: RELAY N.H. 1-800-735-2964

RE: REGISTRATION OF FOREIGN LIMITED LIABILITY COMPANY

Enclosed is the copy of the application for registration that signifies the beginning of your limited liability company's authority to do business in New Hampshire. A certificate of authorization with a State seal is available for the fee of \$5.00 payable in advance to the State of New Hampshire.

Your limited liability company is required to file an annual report beginning the year after the date of registration (unless the date of registration was on or after December 1). Due with the annual report will be a report fee of \$100.00. A late fee of \$50.00 must be paid for reports filed after April 15. THE CORPORATION DIVISION SENDS ANNUAL REPORT FORMS IN JANUARY OF EACH YEAR TO THE LIMITED LIABILITY COMPANY OR AN ADDRESS YOU SPECIFY. HOWEVER, IT IS THE RESPONSIBILITY OF THE LIMITED LIABILITY COMPANY TO OBTAIN A REPORT FOR FILING. IF YOU DO NOT RECEIVE A REPORT FORM, YOU SHOULD CONTACT THE CORPORATION DIVISION TO REQUEST ONE.

If your limited liability company's name or state or country of formation changes, you should file an application for an amended registration (form FLLC 2; fee \$15.00). You must also file a form LLC 2 with us if your registered agent or registered office changes (fee \$15).

Forms and information to cancel the limited liability company's registration are available upon request. Foreign limited liability companies that do not file annual reports and/or pay fees for one year are automatically suspended.

Feel free to contact the Corporation Division for any further information on requirements or our procedures.

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FOR INFORMATION REGARDING BUSINESS TAXES CONTACT:

N.H. DEPARTMENT OF REVENUE ADMINISTRATION TAXPAYER ASSISTANCE PO BOX 457 CONCORD NH 03301

TEL. (603) 271-2191

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## Exhibit B STATE OF NEW HAMPSHIRE

Fee for Form FLLC 1-A: \$ 50.00

Filing fee: Total fees \$ 50.00 \$200.00 \$250.00

Use black print or type. Leave 1" margins both sides. Form No. FLLC 1 RSA 304-C:64 or RSA 304-D:16

# FILED

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

DEC 2 4 2001

WILLIAM M. GARDNER NEW HAMPSHIRE SECRETARY OF STATE

TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE, AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST:	The name of the limited liability company is				
Competitive Energy Services, LLC					
SECOND: Hampshire is	The name which		- Control of the Cont	nd do business	in New
		×			
THIRD:	It is formed und	er the laws of	the	State of Maine	
FOURTH:	The date of its	formation is	Febr	uary 25, 2000	- 27 - 27 - 10 - 211
FIFTH: promoted in	The nature of th				lew
Hampshire to a company organized under the New Hampshire Revised Statutes, including but not limited to services					services
related to the aggre	egate electricity users.				
SIXTH:	The name of its	registered age	ent in New Ham	pshire is	
Christopher P. Reid, Esq. and the complete address (including zip					
code and post office box, if any) of its registered office in New Hampshire					
is (agent's business address) Preti, Flaherty, Beliveau, Pachios & Haley, LLC					
		Sixteen Center Stre			
Concord, NH 03301-6321					
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APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY Competitive Energy Services, LLC

Form No. FLLC 1 (cont.)

(limited liability company name)

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SEVENTH: (Complete this statement only if a Professional Limited Liability Company.) All the members and managers and those of its officers as required by the laws of (enter the state of formation) and by RSA 304-D:12 are licensed in one or more states, territories of the United States or the District of Columbia to render a professional service described in the statement of purpose of the professional limited liability company.

Dated October 31, 2001

Competitive Energy Services, LLC

(Exact name of limited liability company)

(Signature)

Co-Managers

(Title)

Mark Isaacson and Richard Silkman

(Type or print name of person signing)

Complete address of person signing:

Competitive Energy Services, LLC

148 Middle Street

Portland, Maine 04101

\* MUST BE SIGNED BY A MANAGER OF THE LIMITED LIABILITY COMPANY. IF NO MANAGER, IT MUST BE SIGNED BY A MEMBER. (If the limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.)

Mail total fees of \$250.00, DATED AND SIGNED ORIGINAL AND ONE EXACT OR CONFORMED COPY, CERTIFICATE OF EXISTENCE OR DOCUMENT OF SIMILAR IMPORT ISSUED BY THE STATE OR COUNTRY OF FORMATION AND FORM FLLC I-A to: Secretary of State, State House, Room 204, 107 North Main Street, Concord, NH 03301-4989

### Exhibit B FORM FLLC 1-A

## ADDENDUM TO APPLICATION FOR REGISTRATION OF FOREIGN LIMITED LIABILITY COMPANY

STATEMENT PURSUANT TO NH RSA 42I-B:11,II

FOREIGN LIMITED LIABILITY COMPANY N	NAME: Competitive Energy Services, LLC
BUSINESS ADDRESS: 148 Middle Street	, Portland, Maine 04101
CONTACT PERSON: Mark Isaacson	TELEPHONE NUMBER: 772-6190
CONTACT PERSON ADDRESS (IF DIFFERENT):	
I (We) hereby certify that the membership interest 421-B, the New Hampshire Uniform Securities Act (under the Act; or are or when offered will be exemple when offered will be offered in a transaction exemple securities under the Act.	"the Act"): or, when offered will be registered mpted from registration under the Act; or are or
CHECK EITHER I	TEM 1 OR 2 BELOW
1) If the company WILL NOT offer or sell securit	ies in New Hampshire, check this line:
2) If the company WILL offer its securities for sa are or will be registered or exempt from registrati ————— (For assistance with questions relating to securiti (603) 271-1463. For all other questions, call the Company of	on requirements under the Act, check this line:  es only, call the Bureau of Securities Regulation at
	ON - ORIGINAL MUST BE FILED
I (We) certify that the person(s) signing this form member(s) (unless individual member(s) or manager(s) that the foregoing is true and complete to the best	are authorized to execute this document), and
Name (print): Mark Isaacson	Signature:///a///
Name (print): Richard Silkman	Signature:
Name (print):	Signature:
Name (print):	Signature:
Name (print):	Signature:*1
Date: (Detober 31, 2001	æ

\*1 - Use additional sheet of paper if there are more than five signatures.